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3716-P0002A

FROM

Beatrice R. Emerson for

Wesley W. Whitmyer, Jr.

**NOTES** 

Re:

Application No. 09/504,803 filed February 16, 2000 For SYSTEM FOR FACILITATING THE PROCESSING AND SETTLEMENT OF SECURITIES TRADES

3rd STATUS INQUIRY

### OCT 25 2005

PATENT 03716-P0002A WWW

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	P. Howard Edelstein, et al.
Serial No. 09/504,803	Filing Date: February 16, 2000
Title of Application:	System For Facilitating The Processing And Settlement Of Securities Trades
Confirmation No. 6146	Art Unit: 3624
Examiner	Daniel S. Felten

Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450

### 3rd Status Inquiry

#### Dear Sir or Madam:

 More than 2 years and 6 months have passed since a Response to the February 21, 2003 Office Action was filed on April 30, 2003. A Status Inquiry was sent via facsimile on January 17, 2005, and a 2<sup>nd</sup> Status Inquiry was sent via facsimile on June 29, 2005 (copies attached).

No communication has been received from the U.S. Patent and Trademark Office since that date.

2. Kindly advise the undersigned of the present status of this application and when a further Office Action can be expected.

Respectfully submitted,

Wesley W. Whitmyer, W., Registration No., 33,558

Attorney for Applicants

ST.ONGE STEWARD JOHNSTON & REENS LLC

986 Bedford Street

Stamford, CT 06905-5619

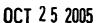
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October 25, 2005

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	P. Howard Edelstein, et al.
Serial No. 09/504,803	Filing Date: February 16, 2000
Title of Application:	System For Facilitating The Processing And Settlement Of Securities Trades
Confirmation No. 6146	Art Unit: 3624
Examiner	Daniel S. Felten

Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450 2 Pages

### 2nd Status Inquiry

#### Dear Sir or Madam:

 More than 2 years and 4 months have passed since a Response to the February 21, 2003 Office Action was filed on April 30, 2003. A Status Inquiry was sent via facsimile on January 17, 2005 (copy attached)

No communication has been received from the U.S. Patent and Trademark Office since that date,

2. Kindly advise the undersigned of the present status of this application.

Respectfully submitted,

Wesley W. Whitmyer, Jr., Registration No., 33,558

Attorney for Applicants

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June 29, 2005

Beatrice R. Emerson

OCT 25 2005

PATENT 03716-P0002A WWW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	P. Howard Edelstein, et al.
Serial No. 09/504,803	Filing Date: February 16, 2000
Title of Application:	System For Facilitating The Processing And Settlement Of Securities Trades
Confirmation No. 6146	Art Unit: 3624
Examiner	Daniel S. Felten

Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450

### Status Inquiry

#### Dear Sir or Madam:

1. More than 20 months have passed since a Response to the February 21, 2003 Office Action was filed on April 30, 2003.

No communication has been received from the U.S. Patent and Trademark Office since that date.

2. Kindly advise the undersigned of the present status.

Respectfully submitted,

Wesley W. Whitmyer, Jr., Registration No., 33,558

Attorney for Applicants

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Facsimile Certificate: I hereby certify that this correspondence is today being sent via facsimile No. 703-872-9306 to the Commissioner for

Patents; Washington, DC.

January 17, 2005

Beatrice R. Emerson